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The Future of TRPM

Securing the Advantage in an
Era of Regulatory Uncertainty

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Today's Agenda

- ❑ Uncertainty in the Market
- ❑ Challenges in the United States
- ❑ International Regulatory Shifts
- ❑ Building a More Effective TPRM Program
- ❑ How New Technologies Change the Narrative

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Overwhelming Uncertainty

Why TPRM Programs Must Keep Evolving

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Today's Uncertainty



Inconsistent
Regulatory
Enforcement

Today's Uncertainty

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Inconsistent
Regulatory
Enforcement

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Changing
Compliance
Standards

Today's Uncertainty



Inconsistent
Regulatory
Enforcement

Changing
Compliance
Standards

Economic
Challenges

Today's Uncertainty



Inconsistent
Regulatory
Enforcement

Changing
Compliance
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Economic
Challenges

Tariffs

Today's Uncertainty



Inconsistent
Regulatory
Enforcement

Changing
Compliance
Standards

Economic
Challenges

Tariffs

Geopolitical
Tensions

Uncertainty in U.S. Federal Regulations



U.S. Federal Exceptions



- Office of Information & Communication Technology & Services (ICTS)
- Enforcement of regulating transactions related to "foreign adversaries"
- Likely emphasis on Nth parties

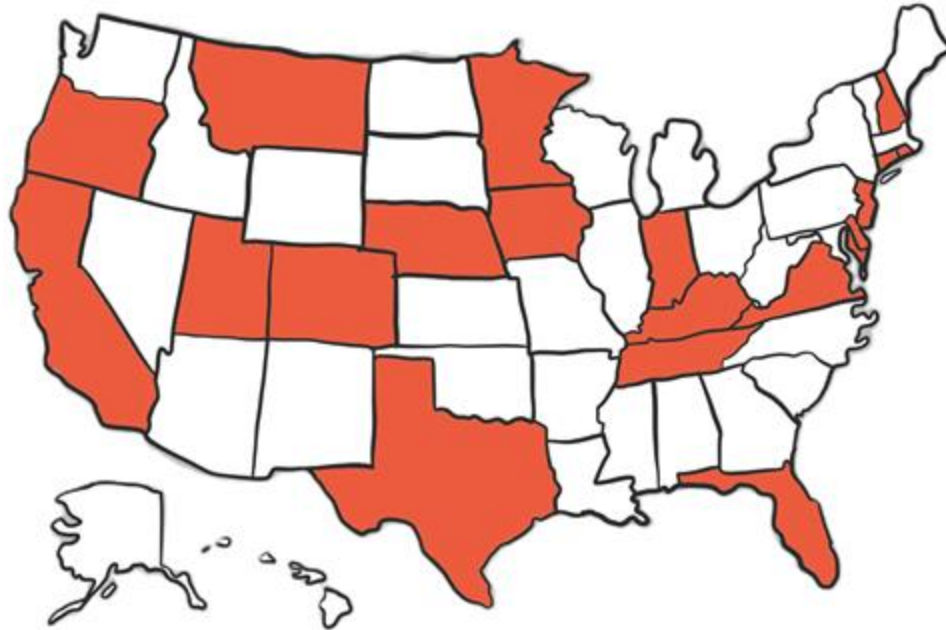
Looking to the States

Data Privacy Regulations



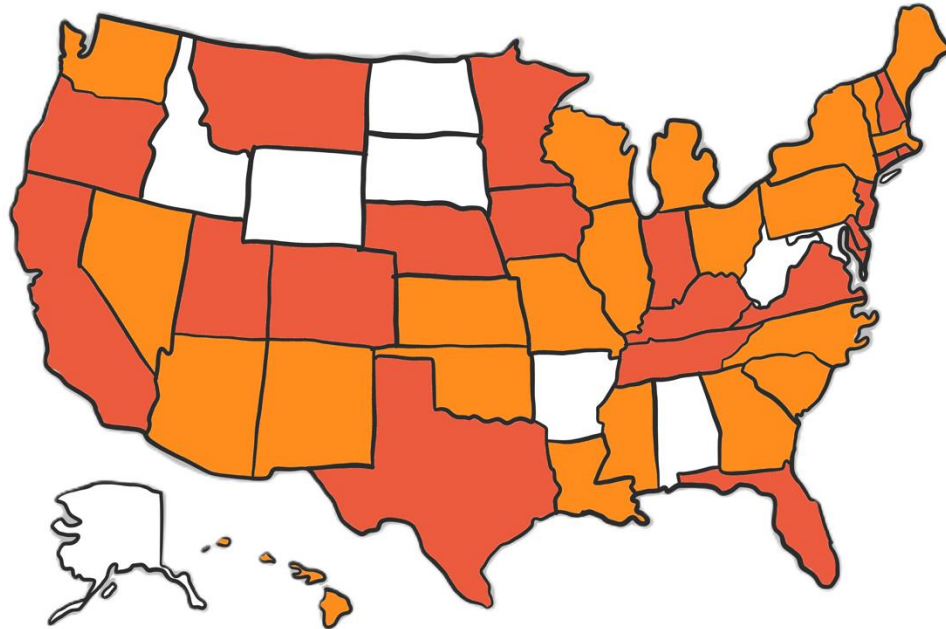
Looking to the States

Data Privacy Regulations – Enacted



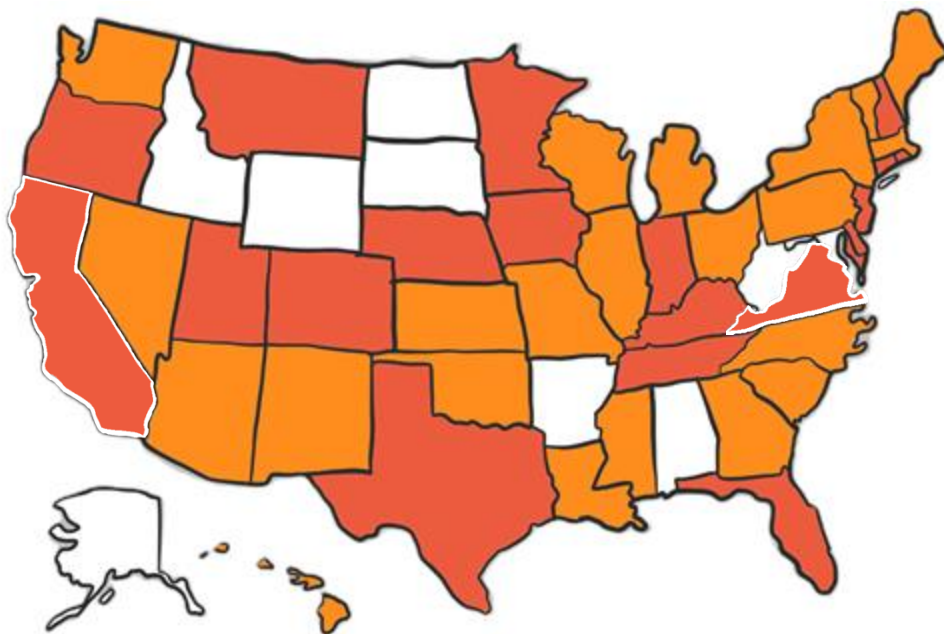
Looking to the States

Data Privacy Regulations – Enacted & Pending



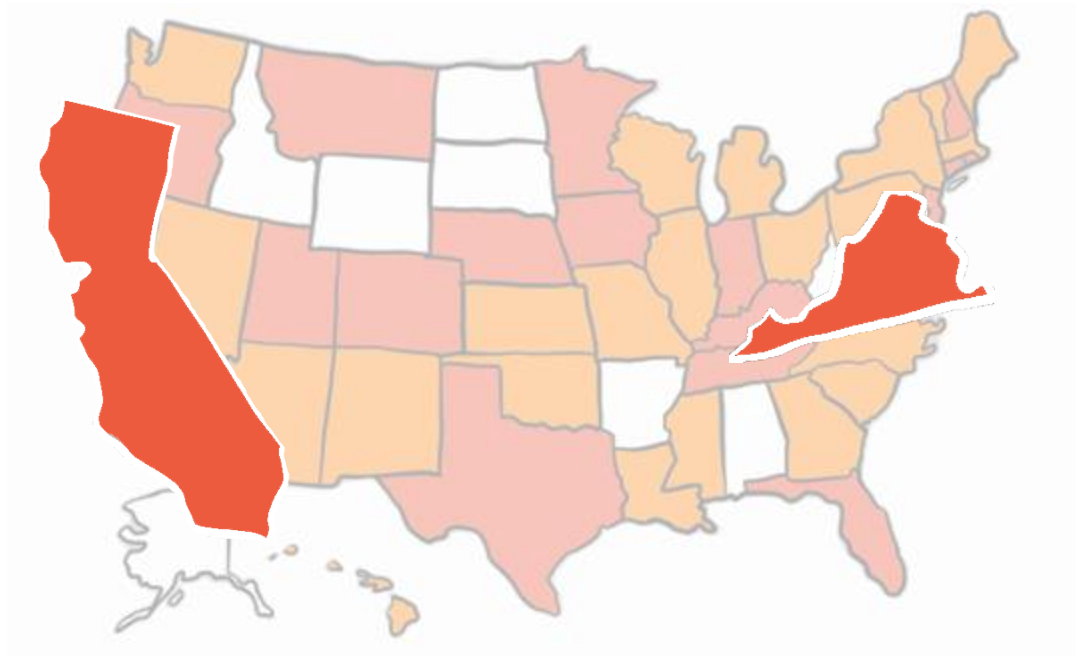
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Data Privacy Regulations – Enacted & Pending



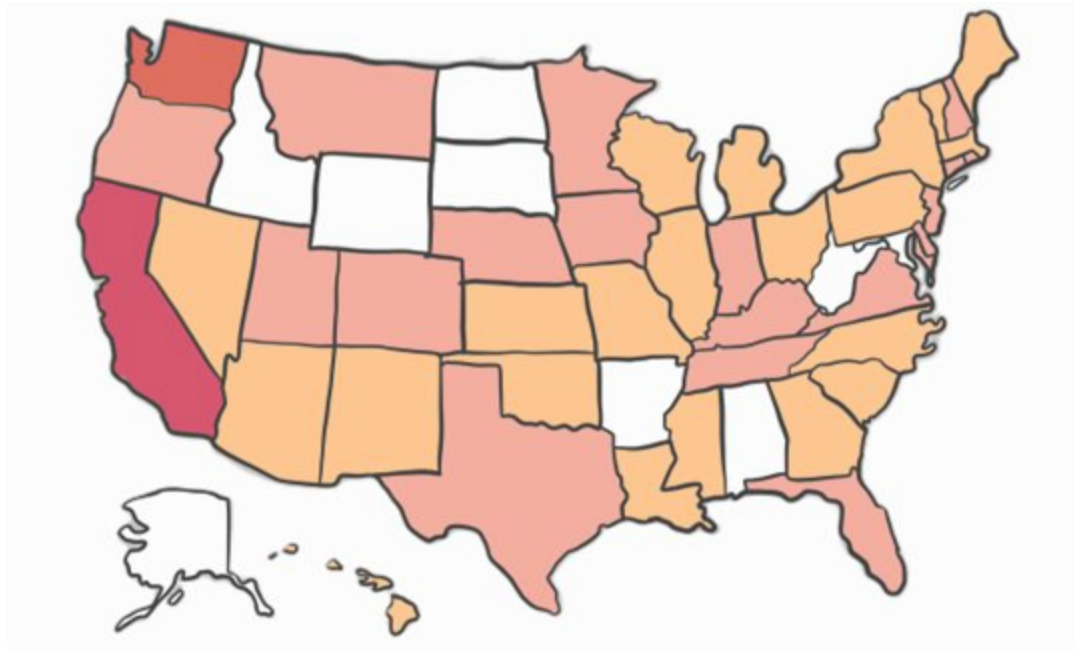
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Data Privacy Regulations – Enacted & Pending



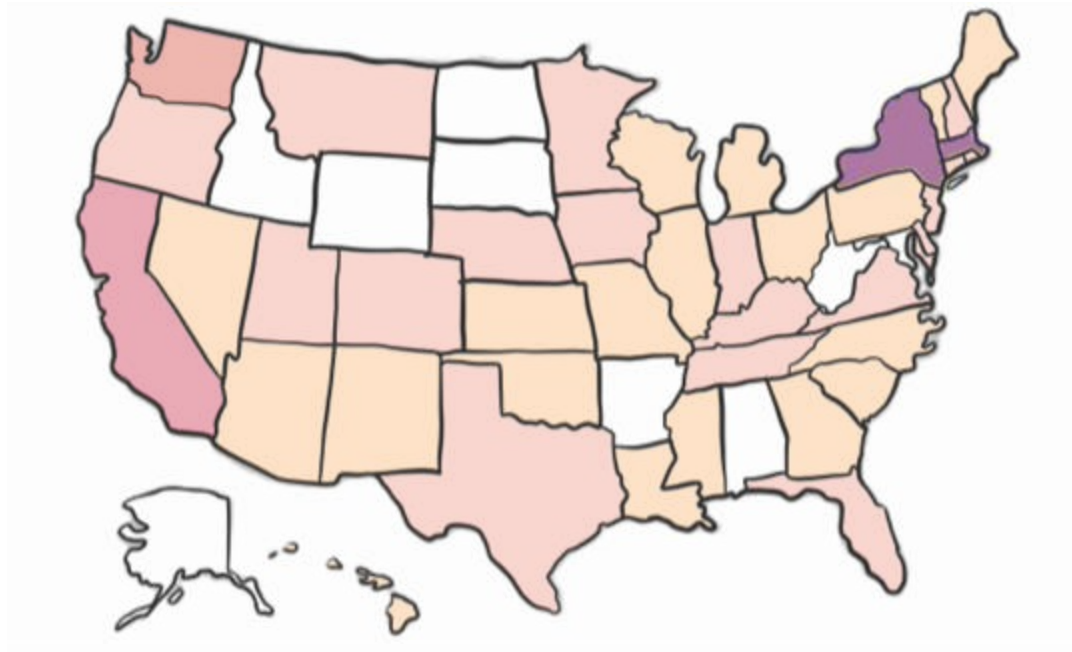
Looking to the States

Modern Slavery Laws



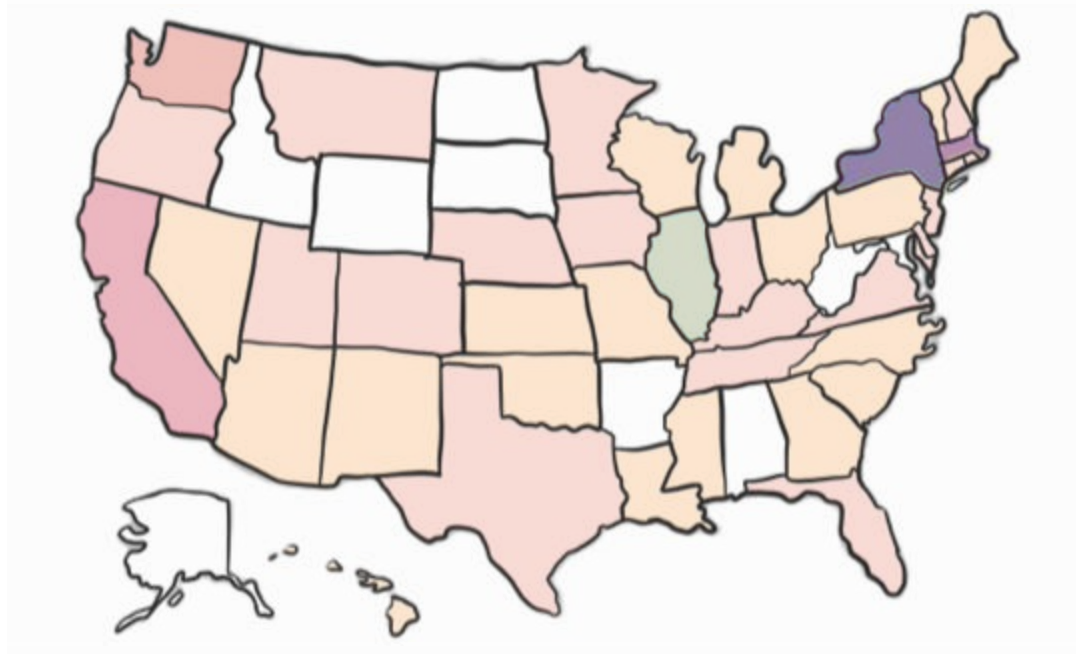
Looking to the States

Data Security/Cybersecurity Requirements



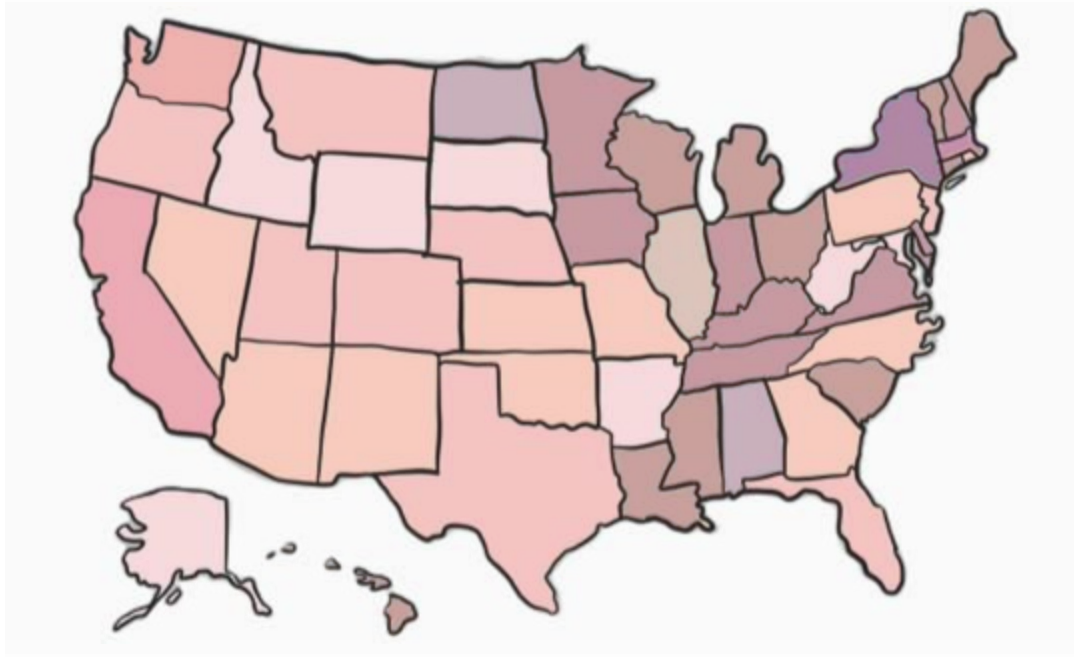
Looking to the States

Notable Industry-Specific Regulations



Looking to the States

Individual Data Breach Notification Requirements



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International Regulations

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International Regulations

EU

- GDPR
- DORA
- CSDDD
- EBA ESG Guidance
- NIS2

Canada

- PIPEDA
- Quebec Law 25
- Forced Labour Act
- OSFI B-10 & B-13

UK

- Modern Slavery Act
- UK GDPR
- Data Protection Act
- UK FCA FG 16/5

Other Geos

- Singapore (MAS Guidance)
- Hong Kong PDPO

Client Requirements



Best-Practice Frameworks

- NIST CSF and AI RMF, etc.



Independent Audits

- To prove capabilities – SOC2, ISO 27001, etc.



Industry Frameworks & Regulations

- PCI-DSS, HIPAA, etc.



Other Client Requirements

- CMMC (U.S. Federal)
- FedRAMP
- HITRUST

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So what does the future look like?

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Reframing TPRM for an Agile Program

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Reframing TPRM

- Re-align TPRM to focus on business outcomes
- Emphasize operational resilience and what could have the biggest impact on your bottom line
- Examine which regulatory regimes your vendors must comply with
- Adopt a proactive approach to risk assessment

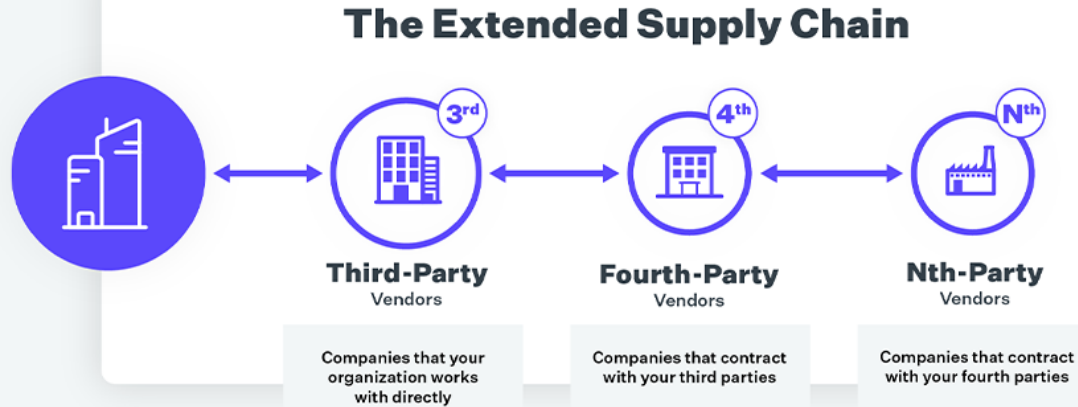


Reframing TPRM

- Nth parties
- Risk Monitor
- Artificial Intelligence
- Collaboration
- Partners



Nth Parties Become More Vital



Critical Actions

- Identify
- Map
- Monitor

Nth Parties Become More Vital



Critical Actions

- Identify
 - SOC2
 - Questionnaires
 - Online Research
 - Automated Detection

Nth Parties Become More Vital



Critical Actions

- Identify
- Map
 - Business Objectives
 - Resilience
 - Concentration Risk

Nth Parties Become More Vital



Critical Actions

- Identify
- Map
- Monitor
 - Data Breaches
 - Event & Disruption

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Monitoring Data

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Monitoring Data

Data Privacy & Breach
Notifications

Monitoring Data

Data Privacy & Breach
Notifications

Resilience

Monitoring Data

Data Privacy &
Breach Notifications

Resilience

Uncertain Financial
Conditions

Monitoring Data

Data Privacy & Breach
Notifications

Resilience

Uncertain Financial
Conditions

ESG

Monitoring Data

Data Privacy & Breach
Notifications

Resilience

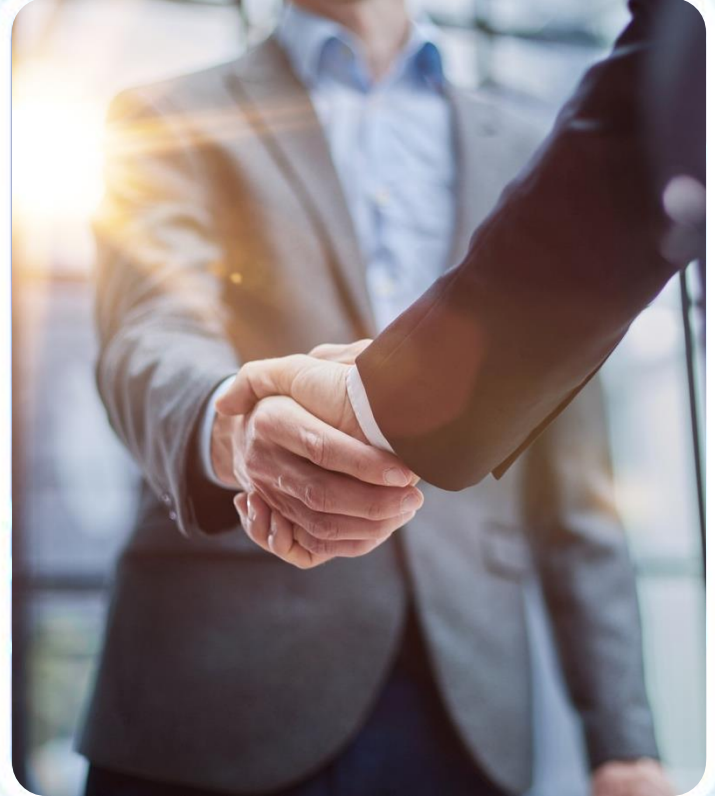
Uncertain Financial
Conditions

ESG

Reputational

Collaboration

Collaborating with the right people helps you do more with the data you have.



Collaboration

Working with Legal

There's an increasing need for seamless workflow between TPRM & Legal



Data Privacy Regulations
Meeting Compliance



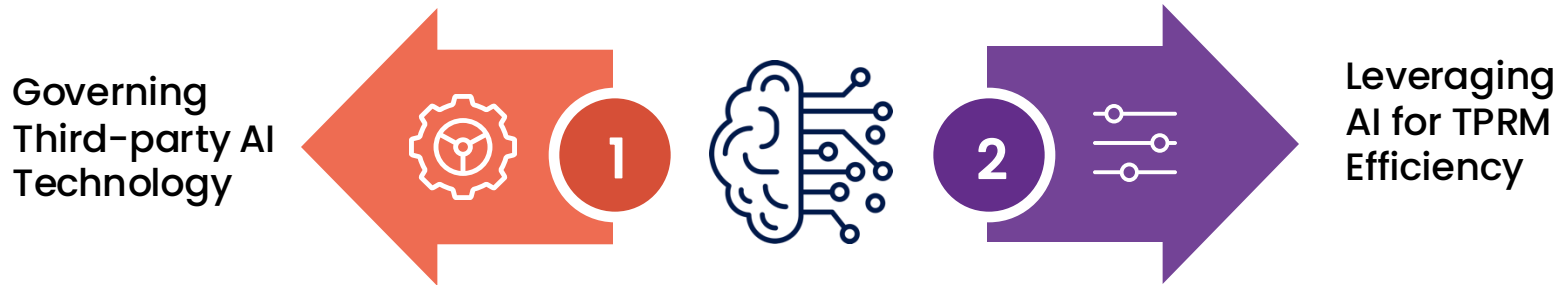
Banking Regulators
Satisfying Examiners



Business-Focused
Doing Good Business

Artificial Intelligence

Two Sides of AI



AI – Governing Third-Party AI Technology

Reward:

- Massive potential to accelerate business, and risk left behind

Risks:

- Unproven Vendors
- New Technology
- New Risks
- Emerging Regulations
- Unique Due Diligence Concern



New challenge of shadow vendors – how are free or personal accounts for publicly available AI tools being used in the business?

AI – Leveraging AI for TPRM Efficiency

- Types of Uses for AI in TPRM
- Realistic Expectations
- Cautions
- Success Stories
- AI in TPRM Forecast



AI – Leveraging AI for TPRM Efficiency

- Types of Uses for AI in TPRM
 - Chatbot Guidance
 - Document Analysis
 - Summarization
 - Questionnaire Completion
 - Analytics
- Realistic Expectations
- Cautions
- Success Stories
- AI in TPRM Forecast



AI – Leveraging AI for TPRM Efficiency

- Types of Uses for AI in TPRM
- Realistic Expectations
 - Can AI uncover...?
 - Can AI determine...?
 - Can AI create...?
- Cautions
- Success Stories
- AI in TPRM Forecast



AI – Leveraging AI for TPRM Efficiency

- Types of Uses for AI in TPRM
- Realistic Expectations
- Cautions
 - Auditability
 - Information Security
 - Performance
- Success Stories
- AI in TPRM Forecast



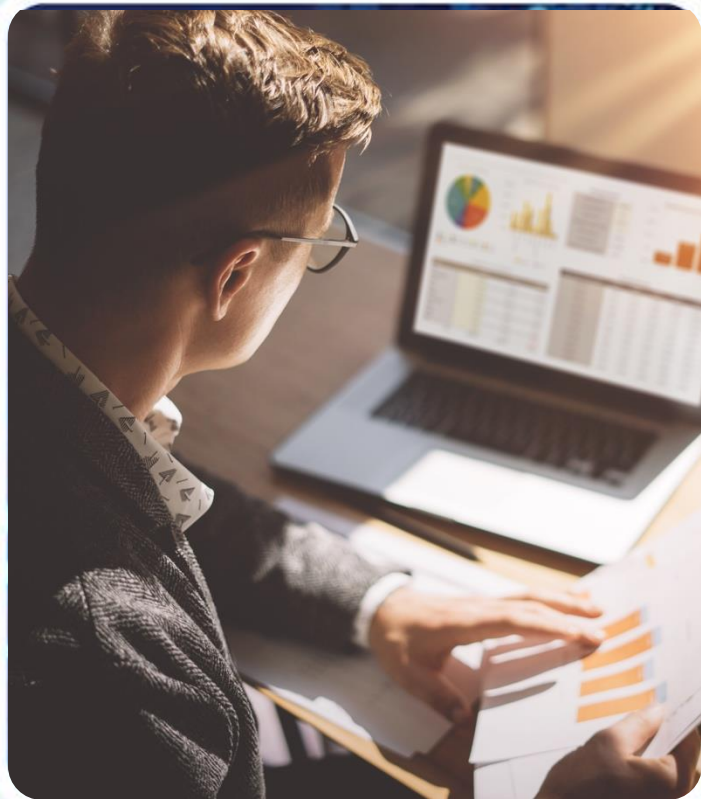
AI – Leveraging AI for TPRM Efficiency

- Types of Uses for AI in TPRM
- Realistic Expectations
- Cautions
- Success Stories
 - Mapping of standard questionnaires
 - SOC2s to measure SIG compliance
 - Chatbots
 - Improve stakeholder engagement
 - Tackle new challenges
 - AI contract analysis to automate checklists
- AI in TPRM Forecast

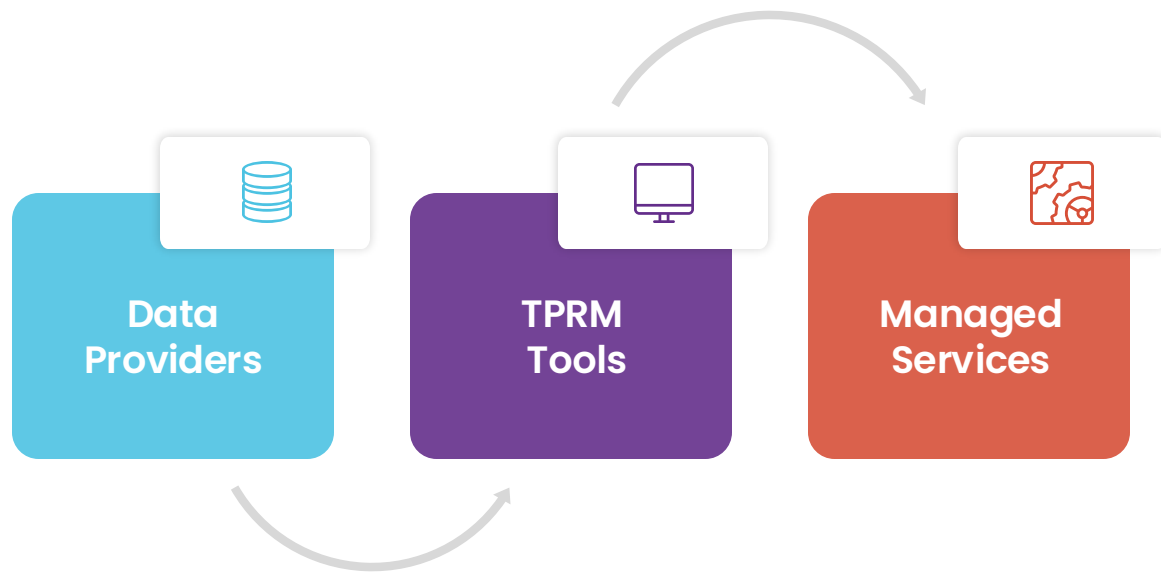


AI – Leveraging AI for TPRM Efficiency

- Types of Uses for AI in TPRM
- Realistic Expectations
- Cautions
- Success Stories
- AI in TPRM Forecast
 - Expanding Compliance
 - Integrated Business Intelligence
 - AI Generated Reporting
 - Predictive Analytics



Partner



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Q&A

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